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PTO/SB/05 (2/98)

Approved for use through 09/30/00. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(h))</i>	Attorney Docket No.	00166.0063.US04
	First Named Inventor or Application Identifier	Rickey et al.
	Title	Preparation of Biodegradable, Biocompatible Microparticles Containing A Biologically Active Agent
	Express Mail Label No.	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (Form PTO-1082)
<i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 35]
<i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R&D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claims- Abstract of the Disclosure <p><input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]</p> <p>Oath or Declaration [Total Pages 6]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 17 completed)</i>
<i>[Note Box 5 below]</i></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and
1.33(b).</p> <p><input checked="" type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i>
The entire disclosure of the prior application, from which a copy
of the oath or declaration is supplied under Box 4b, is considered
as being part of the disclosure of the accompanying application
and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above
copies</p> |
|---|--|

ACCOMPANYING APPLICATION PARTS

- | | |
|--|--|
| 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney
<i>(when there is an assignee)</i> | |
| 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> | |
| 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | |
| 12. <input type="checkbox"/> Preliminary Amendment | |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Two)
<i>(should be specifically itemized)</i> | |
| 14. <input type="checkbox"/> *Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> | |
| 16. <input type="checkbox"/> Other: | |

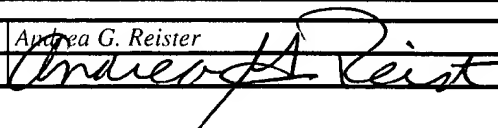
*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- | | | | |
|--|-------------------------------------|---|-------------------------------------|
| <input checked="" type="checkbox"/> Continuation | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application No: 09/071,865 |
|--|-------------------------------------|---|-------------------------------------|
- Prior Application Information: Examiner: C. Azpuru Group/Art Unit: 1615

18. CORRESPONDENCE ADDRESS

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Attorney Docket No. 00166.0063.US04

ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Michael E. Rickey *et al.*For: **Preparation of Biodegradable, Biocompatible Microparticles Containing A Biologically Active Agent**

Enclosed are:

- xx 4 sheets of formal drawings.
 — An assignment of the invention to _____
 — Form PTO-1595.
 — A certified copy of a _____ application.
 — An associate power of attorney.
 — A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
 — Executed Power of Attorney from Assignee
xx Two copies of executed Combined Declaration and Power of Attorney for Patent Application filed in parent Appl. No. 09/071,865.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	1 - 20 =	* 0
INDEP. CLAIMS	1 - 3 =	* 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
RATE	FEE		RATE	FEE
	\$ 380.00	OR		\$ 760.00
x 9 =		OR	x 18 =	
x 39 =		OR	x 78 =	
+ 130 =		OR	+ 260 =	
TOTAL		OR	TOTAL	760.00

— Please charge my Deposit Account No. 08-3038 in the amount of \$_____. A duplicate copy of this sheet is attached.

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— The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

— Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date March 5, 1999

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